Attorney's Docket No.: 06975-097001 / Communications 21

AU6 2 0 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

James Crawford Art Unit : 2141

Serial No.: 09/597,784 Examiner: April Baugh

Filed : June 19, 2000

Title : DIRECT FILE TRANSFER BETWEEN SUBSCRIBERS OF A

COMMUNICATIONS SYSTEM

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant wishes to inform the Examiner of related application 09/572,953 ("the '953 application") filed by the applicant on May 18, 2000 and titled TRANSFERRING FILES. The art cited in the '953 application is submitted in the attached Information Disclosure Statement.

In addition, applicant wishes to call the Examiner's attention to the Fanning reference (WO 01/84799) cited in the attached Information Disclosure Statement. And, relevant to the Fanning reference, as established by the attached declaration submitted under C.F.R. Rule 1.131, applicant notes that the invention of claims 1, 14, 29-31, 36, and 45 was reduced to practice at least as early as April 27, 2000, which is before the April 28th, 2000 priority date of the Fanning reference.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed.

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Applicant: James Crawford Serial No.: 09/597,784 Filed

: June 19, 2000

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Please apply any charges or credits to deposit account 06-1050.

Respectfully submitted,

Attorney's Docket No.: 06975-097001 / Communications 21

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Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 06975-097001

Application No. 09/597,784

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Applicant
James Crawford

Filing Date
June 19, 2000

Group Art Unit

(37 CFR §1.98(b))

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,544,322	08/06/1996	Cheng et al.			
	AB	5,557,659	09/17/1996	Hyde-Thomson			1
	AC	5,610,910	03/11/1997	Focsaneanu et al.			
	AD	5,742,905	04/21/1998	Pepe et al.			
	AE	5,764,916	06/09/1998	Busey et al.			
	AF	5,870,552	02/09/1999	Dozier et al.			
	AG	5,920,692	07/06/1999	Nguyen et al.		*	
	AH	6,212,548	04/03/2001	DeSimone et al.			
	AI	6,457,130	09/24/2002	Hitz et al.			
	AJ	2001/0007128	07/05/2001	Lambert et al.			:

Foreign Patent Documents or Published Foreign Patent Applications										
Examiner	Desig.	Document	Publication	Country or			Translation		Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No		
	AK	WO 200184799	11/08/2001	WIPO						
	AL	EP 864959 A2	09/16/1998	Europe						
	AM	EP 695997 A2	02/07/1996	Europe						

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document		
	AN	"Napster plays dodgeball with music biz", Robert Lemos, ZDNet News, August 13, 1999		
	AO	"The case for services over cascaded networks", Anthony D. Joseph, B.R. Badrinath, Randy H. Katz, International Workshop on Wireless Mobile Multimedia, Pages 2-10, ISBN: 1-58113-093-7		
	AP			
	AQ			

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Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.